

Sharing audits

Improving CSR standards

Improving working conditions

Building supplier capability

AIM-PROGRESS



PROGRAM FOR RESPONSIBLE SOURCING

Audits and Beyond to Demonstrate Compliance

开展审核等一系列工作，体现合规

Shannon Hess

The Clorox Company

AIM-PROGRESS Mutual Recognition Works Stream Co-Lead
共同领导AIM-Progress互认工作的组织

Mutual Recognition Work Stream 互认工作

What is our objective? 我们的目标

- To reduce audit fatigue and align on key issues of responsible sourcing, several AIM-PROGRESS brand manufacturers agree to consider mutual recognition of social compliance audits of their suppliers. 为缓解审核疲劳、在负责任采购的关键问题上步调一致，多家AIM-PROGRESS旗下的品牌生产商决定承认对彼此供应商做的社会责任合规审核。

Why is important? 互认的重要性

- AIM-PROGRESS seeks to promote responsible sourcing while reducing the duplication of supplier audits. Member companies all have responsible sourcing programs which may include the request for on-site audits of suppliers. To reduce audit duplication, costs and fatigue companies - through the Mutual Recognition mechanism - can recognize supplier audits completed on behalf of another company.

AIM-PROGRESS一面努力提升负责任采购的水平，一面减少对供应商重复审核。全体企业会员都在落实负责任采购项目，项目有可能提出对供应商做现场审核。互认机制使得一家企业能代表另一家企业对完成的供应商审核工作表示认可，从而减少重复审核、降低成本，减轻企业的疲劳感。

Mutual Recognition Key Activities 互认涉及的主要活动

1. Mutual Recognition Framework
搭建互认框架
2. Audited Supplier List for on-site audits
建立通过现场审核的供应商的名单
3. Annual Benchmarking Survey
建立年度基准化问卷调查
4. Opportunities to mutually recognize other Responsible Sourcing activities across membership
给会员彼此认可其他负责任采购活动的机会

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Who participates? 33 member companies

33家企业成员参与

Alpla		Henkel	
Associated British Foods		Hershey's	
AB Inbev		Kellogg's	
Amcor		Kimberly-Clark	
Barry Callebaut		Mars	
Beiersdorf		McDonald's	
Britvic		Mondelez International	
The Clorox Company		Nestlé	
The Coca-Cola Company		Orkla	
Colgate-Palmolive		PepsiCo	
Danone		Pernod Ricard	
Diageo		Procter & Gamble	
Estée Lauder Companies		RB	
Ferrero		SC Johnson	
General Mills		Unilever	
Givaudan		WestRock	
Heineken			

Mutual recognition of on-site audits 互相承认的现场审核

What? 内容

- Objective is to reduce audit fatigue
旨在缓解审核疲劳
- Align on key issues of responsible sourcing
在负责任采购的关键问题上步调一致

Why? 原因

- Promote responsible sourcing whilst reducing the duplication of supplier audits
减少重复审核供应商的次数，同时推动负责任采购工作的进行

How? 做法

Step 1: 第1步:

- Benchmark member companies' audit protocols against a joint set of common criteria – our common benchmark is SMETA 6.0
根据企业会员的审核协议与常见的综合性标准进行比较——我们常用的基准是SMETA 6.0
- If the audit protocol is 85% equivalent to our common benchmark, companies can participate in the Mutual Recognition initiative.
如果审核协议的内容有85%和常见基准一致，公司就能参加“互认”倡议活动。

Step 2: 第2步:

- Sign on to the joint Mutual Recognition statement. 在共同的《互认》声明上签字。

Step 3: 第3步:

- Share list of audited suppliers over the last three years via the AIM-PROGRESS Secretariat (who provides aggregated and anonymous excel format) 由AIM-PROGRESS秘书处共享最近三年经过审核的供应商的名单（秘书处以Excel格式，提供匿名汇总数据）

Step 4: 第4步:

- Check the list for suppliers you may want to audit. Request audit reports directly from them (or upload them via a shared platform, e.g. Sedex)
在列表上查找想审核的供应商。要求对方直接提供审核报告（或通过Sedex等共享平台，上传报告）

MR audited supplier list template 经审计、互认的供应商列表模板

➤ Audit types currently listed:
目前囊括的审计类型有：

- SMETA 6.0
- SA8000
社会责任标准8000
- BSCI
商业社会责任准则
- WRAP
国际社会责任认证生产
- Other (including companies' own 4 pillar audit protocol – also mapped to ITC tool)
其他（包括企业自身涵盖四个领域的审核协议——也反映在国际贸易中心的工具中）

Audited Supplier List updated twice a year 通过审核的供应商的名单一年更新两次

- As of Q4 2018, **21 000 audits**
到2018年第四季度，已完成**21,000项审核**
- Steady growth since 2010
自2010年起，数量稳步攀升
- **33 members** are contributing
33名成员为这项工作贡献



Annual Benchmarking Survey 2018

2018年度基准化问卷调查

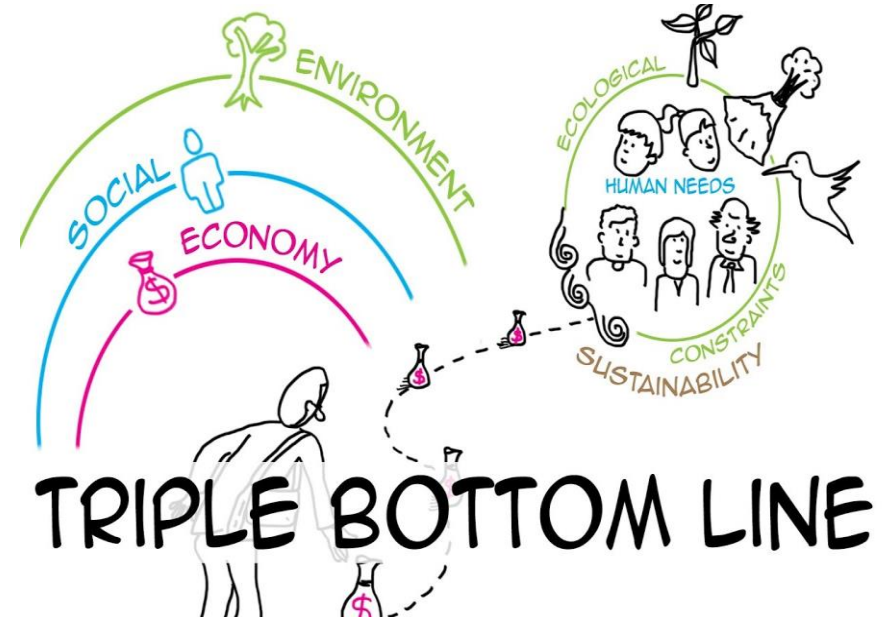
8th Annual AIM-PROGRESS benchmarking survey on Responsible Sourcing in the FMCG sector

AIM-PROGRESS第8轮针对快消品行业负责任采购工作开展的年度基准化问卷调查

- **Human Rights are increasingly the focus area for AIM-PROGRESS companies:** 73% of companies are implementing Human Rights frameworks, including the UN Guiding Principles, with the rest of the membership having work underway or in planning stage. This is almost a tripling from 2017, where only 24% of respondents reported having implemented Human Rights frameworks.
人权成为AIM-PROGRESS企业会员越来越重视的领域。 73%的企业正在落实包括《联合国指导原则》在内、人权框架下的工作；其余成员正在开展或计划开展相关工作。2017年，只有24%的受访者表示落实了人权框架下的工作；现在的数字几乎是之前的三倍。
- **Audits are the most widely used method for supplier assessment with 72% of the AIM-PROGRESS membership conducting third party audits in 2018.** 66% of companies are using Supplier Self-Assessment Questionnaires (SAQs), and 53% report using third-party desk assessment services (e.g. EcoVadis).
审核是评估供应商时使用最广的方法。2018年，AIM-PROGRESS 72%的会员做过第三方审核。 目前，66%的企业采用自评问卷，53%的报告是通过第三方（如：EcoVadis）做案头评估完成的。
- AIM-PROGRESS is particularly pleased to see that **33% of the reported audits conducted in 2018 by our membership are being mutually recognized**, thus lessening the cost and resource burden on the supplier.
AIM-PROGRESS很高兴地看到，**2018年会员报告开展的审核中，相互承认的占33%**。这降低了成本，也减轻了供应商的资源负担。
- 87% of members engage with suppliers on capability building, with **the most common support to first-tier suppliers being AIM-PROGRESS supplier training events (66%)**.
87%的会员邀请供应商参与能力建设。**最常见的支持一级供应商的形式是：组织AIM-PROGRESS供应商培训活动（占比66%）。**

The Business Case 商业案例

- Increased transparency & accountability of your company's operations
让贵公司经营更透明、承担更多责任
- Enhanced reputation
扩大声誉
- Ability to preempt risk and mitigate adequately
能预防并充分消除风险
- Helps attract and retain talent
吸引并留住人才
- Reduce waste and enhance productivity
减少污染、提高生产效率



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Thank you
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